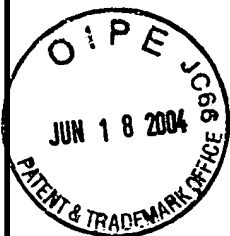


RCE/2882
IFW

S&H Form: PTO/SB/30 (10/03)

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL



(INCLUDING FILING FEE AND/OR PETITION FOR
EXTENSION OF TIME FEE)

Subsection (b) of 35 U.S.C. §132, effective May 29, 2000
provides for continued examination of a utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA)

To: **Commissioner for Patents**
Box RCE
PO Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.:1460.1016

First Named Inventor Yoshinobu KUBOTA, et al.

Application No. 09/761,778

Group Art Unit 2882

Filing Date January 18, 2001

Examiner Chih Cheng G. Kao

CPA Filing Date

Confirmation No 5961

Title of Invention OPTICAL CIRCUIT

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

1.



Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)

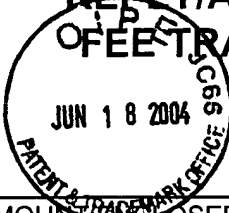
- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on ____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on ____
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2.

Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).
- b. ☐ Other

		BASIC FEE		\$ 770.00
Since an Official Action set an original due date of <u>May 19, 2004</u> , petition is hereby made for an extension of time to cover the date this RCE is filed, for which the requisite fee is enclosed (1 month (\$110); 2 months (\$420); 3 months (\$950); 4 months (\$1,480); 5 months (\$2,010)):			110.00	
Suspension Fee (\$130.00)				
Total of above Calculations =			\$ 880.00	
Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28).				
TOTAL FEES DUE =			\$ 880.00	
4. <input type="checkbox"/> Small entity status: a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status. b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired. c. <input type="checkbox"/> is no longer claimed. 5. <input type="checkbox"/> Other:				
6. METHOD OF PAYMENT				
<input checked="" type="checkbox"/> A check in the amount of \$ <u>\$880.00</u> is enclosed. <input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.)				
7. GENERAL AUTHORIZATION				
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: Deposit Account No. 19-3935.				
8. CORRESPONDENCE ADDRESS				
STAAS & HALSEY LLP  21171 PATENT TRADEMARK OFFICE				
9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED				
NAME	Michael E. Kondoudis	REGISTRATION NO.	42,758	
SIGNATURE		DATE	6-18-04	

REPLY/AMENDMENT OFFEE TRANSMITTAL 	Attorney Docket No.	1460.1016	
	Application Number	09/761,778	
	Filing Date	January 18, 2001	
	First Named Inventor	Yoshinobu KUBOTA, et al.	
	Group Art Unit	2882	
AMOUNT ENCLOSED	430.00	Examiner Name	Chih Cheng G. Kao

FEE CALCULATION (fees effective 10/01/03)

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	19	- 20 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS	8	- 3 =	5	X \$ 86.00 =	430.00

Since an Official Action set an original due date of , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$420); 3 months (\$950); 4 months (\$1,480); 5 months

If Notice of Appeal is enclosed, add (\$330.00)

If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110.00)

Information Disclosure Statement (Rule 1.17(p)) (\$180.00)

Total of above Calculations =

\$ 430.00

Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)

TOTAL FEES DUE =

\$ 430.00

(1) If entry (1) is less than entry (2), entry (3) is "0".

(2) If entry (2) is less than 20, change entry (2) to "20".

(4) If entry (4) is less than entry (5), entry (6) is "0".

(5) If entry (5) is less than 3, change entry (5) to "3".

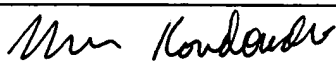
METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
- Deposit Account No.
- Deposit Account Name
- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Michael E. Kondoudis	Reg. No.	42,758
Signature		Date	6-18-04



Docket No.: 1460.1016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yoshinobu KUBOTA, et al.

Serial No. 09/761,778

Group Art Unit: 2882

Confirmation No. 5961

Filed: January 18, 2001

Examiner: Chih Cheng G. Kao

For: OPTICAL CIRCUIT

PRELIMINARY AMENDMENT AND REQUEST FOR PERSONAL INTERVIEW

Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination on the merits, Applicants amend the following application as follows.

06/22/2004 CNGUYEN 00000017 09761778

03 FC:1201

430.00 OP